



Inventors: Lipton and Okamoto  
Serial No.: 09/876,187  
Filed: June 5, 2001  
Page 2

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge any fees to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

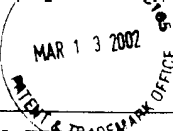
Date: March 5, 2002

Andrea L. Gashler

Andrea L. Gashler  
Registration No. 41,029  
Telephone No.: (858) 535-9001  
Facsimile No.: (858) 535-8949

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive  
7<sup>th</sup> Floor  
San Diego, California 92122  
**USPTO CUSTOMER NO. 23601**

MAR 13 2002



**UTILITY PATENT APPLICATION TRANSMITTAL**  
 (only for new and continuation-in-part  
 nonprovisional applications under 37 CFR  
 1.53(b))

Docket No.:

P-LJ 4714

Address to: COMMISSIONER FOR PATENTS  
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 Washington, D.C. 20231



23601

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This is a request for filing a  
X new utility patent application under 37 CFR 1.53(b)(1).  
 \_\_\_\_\_ continuation-in-part under 37 CFR 1.53(b)(2) of prior  
 application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
 (list entire parentage).

Title: **METHODS OF DIFFERENTIATING AND PROTECTING CELLS BY  
 MODULATING THE P38/MEF2 PATHWAY**

Inventors(full name of each inventor): **Stuart A. Lipton**  
**Shu-ichi Okamoto**

Enclosed are:

X Return receipt postcard  
X Patent Application Bibliographic Data Sheet  
X 1 Page application cover sheet  
X 92 Pages of specification (includes claims and abstract)  
X 16 Sheets of drawing(s)  
 \_\_\_\_\_ Pages of an executed Declaration for Patent Application  
 \_\_\_\_\_ An executed Power of Attorney for Patent Application by  
 Assignee  
 \_\_\_\_\_ Paper copy of sequence listing, pages \_\_\_\_\_ through \_\_\_\_\_  
 \_\_\_\_\_ Sequence listing in computer readable form  
 \_\_\_\_\_ Statement Under 37 CFR 1.821(f)  
 \_\_\_\_\_ An executed assignment and cover sheet  
 \_\_\_\_\_ An executed Statement Under 37 CFR 3.73(b)  
 \_\_\_\_\_ An executed small entity statement  
 \_\_\_\_\_ Request for Nonpublication and Certification  
 \_\_\_\_\_ Also enclosed: \_\_\_\_\_

\_\_\_\_\_ This application is based on prior foreign application(s)  
 No.(s) \_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_  
 \_\_\_\_\_, respectively, and priority is hereby claimed  
 therefrom.

X This application is based on, and claims the benefit of,  
 U.S. Provisional Application No. 60/209,539, filed June 5,  
 2000, and which is incorporated herein by reference.

EXHIBIT A

Inventors: Lipton et al.  
Docket No.: P-LJ 4714  
Page 2



— This application is based on, and claims the benefit of, U.S. Provisional Application No. 60/\_\_\_\_\_ (yet to be assigned), filed \_\_\_\_\_, which was converted from U.S. Serial No. \_\_\_\_\_, and entitled \_\_\_\_\_, and which is incorporated herein by reference.

The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate		Fee	
					Small Entity	Other Entity	Small Entity	Other Entity
Total Claims	58- 20	=	38	x	\$9	\$13	= \$	\$
Indepen- dent Claims	6 - 3	=	3	x	\$40	\$80	= \$	\$
Multiple Dependent Claims Presented: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					\$135	\$270	\$	\$
					BASIC FEE		\$355	\$710
					TOTAL FEE		\$	\$

— A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.

☒ The payment of the filing fee is to be deferred until the Declaration is filed. Do not charge our deposit account.

— The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

Address all future communications to:

Cathryn Campbell  
CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive, 7<sup>th</sup> Floor  
San Diego, California 92122  
telephone: (858) 535-9001  
facsimile: (858) 535-8949  
USPTO CUSTOMER NO. 23601

Respectfully submitted,

Date: June 5, 2001

Andrea L. Gashler  
Andrea L. Gashler  
Registration No. 41,029  
CAMPBELL & FLORES LLP  
4370 La Jolla Village Dr., 7<sup>th</sup> Fl.  
San Diego, California 92122



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/876,187	06/05/2001	1646	0.00	P-LJ 4714	16	5758	6

CONFIRMATION NO. 5845

FILING RECEIPT



\*OC000000006393305\*

CAMPBELL & FLORES LLP  
7th Floor  
4370 La Jolla Village Drive  
San Diego, CA 92122

Date Mailed: 08/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Stuart A. Lipton, Rancho Santa Fe, CA;  
Shu-ichi Okamoto, San Diego, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/209,539 06/05/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 08/07/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

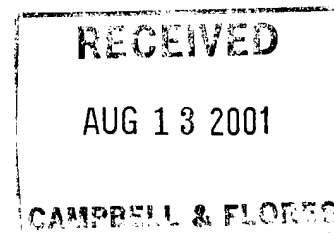
Early Publication Request: No

**Title**

Methods of differentiating and protecting cells by modulating the P38/MEF2 pathway

**Preliminary Class**

435



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Data entry by: DONG, OANH

Team : OIPE

Date: 08/07/2001